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CASE CLV-31855A

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Sara Carter  
Type or print name

*Sara Carter*  
Signature

June 3, 2003  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

LOHMANN ET AL.

APPLICATION NO: 10/056,168

FILED: JANUARY 24, 2002

FOR: PROCESS FOR MODIFYING A SURFACE

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JUN 09 2003  
TC 1700

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(c).  
A letter for payment of fee set forth in 37 C.F.R. §1.17(p) is enclosed.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis Pharmaceuticals Corporation  
Patent and Trademark Dept.  
One Health Plaza  
East Hanover, NJ 07936-1080  
(678) 415-4691



Jian Zhou  
Agent for Applicants  
Reg. No. 41,422

Date: June 3, 2003

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FEE LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$180 for payment of the fee pursuant to 37 CFR §1.17(p) for the submission of an Information Disclosure Statement under 37 CFR §1.97(c).

An additional copy of this paper is here enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis Corporation.

Respectfully submitted,

*Jian Zhou*

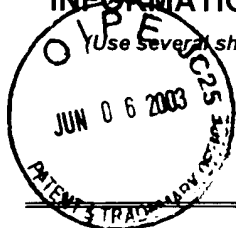
Jian Zhou  
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Novartis Pharmaceuticals Corporation  
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One Health Plaza  
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Date: June 3, 2003

## INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.  
CLV-31855A  
APPLICATION NO.  
10/056,168  
APPLICANT  
LOHMANN ET AL.  
FILING DATE  
JANUARY 24, 2002

Group



(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	4,309,453	1/5/82	Reiner et al.	427	54.1	1/28/80
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

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JUN 11 2003  
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	JP 05310979	11/22/93	Japanese Patent Office			<input type="checkbox"/>	<input type="checkbox"/>
	AN	JP 63041541	2/22/88	Japanese Patent Office			<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	International Search Report
	AS	
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.